

| General information about company | |
|--|--|
| Scrip code | 534615 |
| Name of the company | North Eastern Carrying Corporation Limited |
| Whether company is SME | No |
| Class of Security | Equity Shares |
| Type of report | Quarterly |
| Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment) | 30-06-2017 |
| Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date | |
| Shareholding pattern filed under | Regulation 31 (1) (b) |

| Sr. No. | Particular | Yes/No |
|---------|--|--------|
| 1 | Whether the Listed Entity has issued any partly paid up shares? | No |
| 2 | Whether the Listed Entity has issued any Convertible Securities ? | No |
| 3 | Whether the Listed Entity has issued any Warrants ? | No |
| 4 | Whether the Listed Entity has any shares against which depository receipts are issued? | No |
| 5 | Whether the Listed Entity has any shares in locked-in? | No |
| 6 | Whether any shares held by promoters are pledge or otherwise encumbered? | No |
| 7 | Whether company has equity shares with differential voting rights? | No |

| Table I - Summary Statement holding of specified securities | | | | | | | | | | | |
|--|--------------------------------|----------------------------|--|--|---|--|---|---|-------------|----------|-------------------------|
| Category (I) | Category of shareholder (II) | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of Partly paid-up equity shares held (V) | No. Of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = (IV)+ (V)+ (VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) | | | |
| | | | | | | | | No of Voting (XIV) Rights | | | Total as a % of (A+B+C) |
| | | | | | | | | Class eg: X | Class eg: y | Total | |
| (A) | Promoter & Promoter Group | 6 | 33088925 | | | 33088925 | 65.92 | 33088925 | | 33088925 | 65.92 |
| (B) | Public | 17431 | 17108411 | | | 17108411 | 34.08 | 17108411 | | 17108411 | 34.08 |
| (C) | Non Promoter-Non Public | | | | | | | | | | |
| (C1) | Shares underlying DRs | | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | | |
| | Total | 17437 | 50197336 | | | 50197336 | 100 | 50197336 | | 50197336 | 100 |

| Table I - Summary Statement holding of specified securities | | | | | | | | | | |
|---|--------------------------------|---|--|--|---|----------------------------------|---------------------------------|---|---------------------------------|---|
| Category (I) | Category of shareholder (II) | No. Of Shares Underlying Outstanding convertible securities (X) | No. of Shares Underlying Outstanding Warrants (Xi) | No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) |
| | | | | | | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | |
| (A) | Promoter & Promoter Group | | | | 65.92 | | | | | 33088925 |
| (B) | Public | | | | 34.08 | | | | | 16759385 |
| (C) | Non Promoter-Non Public | | | | | | | | | |
| (C1) | Shares underlying DRs | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | |
| | Total | | | | 100 | | | | | 49848310 |

| Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | | | | |
|---|---|----------------------------|--|--|---|---|---|---|------------|----------|-------------------------------------|
| Sr. | Category & Name of the Shareholders (I) | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of Partly paid-up equity shares held (V) | No. Of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = (IV)+(V)+ (VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) | | | |
| | | | | | | | | No of Voting (XIV) Rights | | | Total as a % of Total Voting rights |
| | | | | | | | | Class eg: X | Class eg:y | Total | |
| A | Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | | | |
| (1) | Indian | | | | | | | | | | |
| (a) | Individuals/Hindu undivided Family | 3 | 13466493 | | | 13466493 | 26.83 | 13466493 | | 13466493 | 26.83 |
| (d) | Any Other (specify) | 3 | 19622432 | | | 19622432 | 39.09 | 19622432 | | 19622432 | 39.09 |
| Sub-Total (A)(1) | | 6 | 33088925 | | | 33088925 | 65.92 | 33088925 | | 33088925 | 65.92 |
| (2) | Foreign | | | | | | | | | | |
| Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2) | | 6 | 33088925 | | | 33088925 | 65.92 | 33088925 | | 33088925 | 65.92 |
| B | Table III - Statement showing shareholding pattern of the Public shareholder | | | | | | | | | | |
| (1) | Institutions | | | | | | | | | | |
| (3) | Non-institutions | | | | | | | | | | |
| (a(i)) | Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs. | 16899 | 7414650 | | | 7414650 | 14.77 | 7414650 | | 7414650 | 14.77 |
| (a(ii)) | Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs. | 17 | 2339861 | | | 2339861 | 4.66 | 2339861 | | 2339861 | 4.66 |
| (b) | NBFCs registered with RBI | 1 | 10000 | | | 10000 | 0.02 | 10000 | | 10000 | 0.02 |
| (e) | Any Other (specify) | 514 | 7343900 | | | 7343900 | 14.63 | 7343900 | | 7343900 | 14.63 |
| Sub-Total (B)(3) | | 17431 | 17108411 | | | 17108411 | 34.08 | 17108411 | | 17108411 | 34.08 |
| Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3) | | 17431 | 17108411 | | | 17108411 | 34.08 | 17108411 | | 17108411 | 34.08 |
| C | Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder | | | | | | | | | | |
| Total (A+B+C2) | | 17437 | 50197336 | | | 50197336 | 100 | 50197336 | | 50197336 | 100 |
| Total (A+B+C) | | 17437 | 50197336 | | | 50197336 | 100 | 50197336 | | 50197336 | 100 |

| Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | | |
|---|---|--|--|---|----------------------------------|---------------------------------|---|---------------------------------|---|
| Sr. | No. Of Shares Underlying Outstanding convertible securities (X) | No. of Shares Underlying Outstanding Warrants (Xi) | No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) |
| | | | | | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | |
| A | Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | |
| (1) | Indian | | | | | | | | |
| (a) | | | | 26.83 | | | | | 13466493 |
| (d) | | | | 39.09 | | | | | 19622432 |
| Sub-Total (A) (1) | | | | 65.92 | | | | | 33088925 |
| (2) | Foreign | | | | | | | | |
| Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2) | | | | 65.92 | | | | | 33088925 |
| B | Table III - Statement showing shareholding pattern of the Public shareholder | | | | | | | | |
| (1) | Institutions | | | | | | | | |
| (3) | Non-institutions | | | | | | | | |
| (a(i)) | | | | 14.77 | | | | | 7089824 |
| (a(ii)) | | | | 4.66 | | | | | 2315661 |
| (b) | | | | 0.02 | | | | | 10000 |
| (e) | | | | 14.63 | | | | | 7343900 |
| Sub-Total (B) (3) | | | | 34.08 | | | | | 16759385 |
| Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3) | | | | 34.08 | | | | | 16759385 |
| C | Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder | | | | | | | | |
| Total (A+B+C2) | | | | 100 | | | | | 49848310 |
| Total (A+B+C) | | | | 100 | | | | | 49848310 |

| Individuals/Hindu undivided Family | | | | |
|--|------------|------------------|--------------|---------------------------------------|
| Searial No. | 1 | 2 | 3 | |
| Name of the Shareholders (I) | VANYA JAIN | SUNIL KUMAR JAIN | UTKARSH JAIN | Click here to go back |
| PAN (II) | AAFPJ2289P | AAIPJ5927B | ATPPJ6751R | Total |
| No. of fully paid up equity shares held (IV) | 648815 | 12663768 | 153910 | 13466493 |
| No. Of Partly paid-up equity shares held (V) | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 648815 | 12663768 | 153910 | 13466493 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 1.29 | 25.23 | 0.31 | 26.83 |
| Number of Voting Rights held in each class of securities (IX) | | | | |
| Class eg:X | 648815 | 12663768 | 153910 | 13466493 |
| Class eg:y | | | | |
| Total | 648815 | 12663768 | 153910 | 13466493 |
| Total as a % of Total Voting rights | 1.29 | 25.23 | 0.31 | 26.83 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2) | 1.29 | 25.23 | 0.31 | 26.83 |
| Number of Locked in shares (XII) | | | | |
| No. (a) | | | | |
| As a % of total Shares held (b) | | | | |
| Number of Shares pledged or otherwise encumbered (XIII) | | | | |
| No. (a) | | | | |
| As a % of total Shares held (b) | | | | |
| Number of equity shares held in dematerialized form (XIV) | 648815 | 12663768 | 153910 | 13466493 |
| Reason for not providing PAN | | | | |

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|------------------------------|--|--|--|--|
| Reason for not providing PAN | | | | |
|------------------------------|--|--|--|--|

| Any Other (specify) | | | | |
|--|---------------------------------|---------------------------------|----------------------------------|---------------------------------------|
| Serial No. | 1 | 2 | 3 | |
| Category | Bodies Corporate | Bodies Corporate | Bodies Corporate | Click here to go back |
| Name of the Shareholders (I) | SUVI DEVELOPERS PRIVATE LIMITED | NECC SECURITIES PRIVATE LIMITED | NECC AUTOMOBILES PRIVATE LIMITED | |
| PAN (II) | AAACS1835Q | AABCN5136E | AABCN5137F | Total |
| No. of the Shareholders (I) | 1 | 1 | 1 | 3 |
| No. of fully paid up equity shares held (IV) | 3631400 | 7656880 | 8334152 | 19622432 |
| No. Of Partly paid-up equity shares held (V) | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 3631400 | 7656880 | 8334152 | 19622432 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 7.23 | 15.25 | 16.6 | 39.09 |
| Number of Voting Rights held in each class of securities (IX) | | | | |
| Class eg: X | 3631400 | 7656880 | 8334152 | 19622432 |
| Class eg:y | | | | |
| Total | 3631400 | 7656880 | 8334152 | 19622432 |
| Total as a % of Total Voting rights | 7.23 | 15.25 | 16.6 | 39.09 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 7.23 | 15.25 | 16.6 | 39.09 |
| Number of Locked in shares (XII) | | | | |
| No. (a) | | | | |
| As a % of total Shares held (b) | | | | |
| Number of Shares pledged or otherwise encumbered (XIII) | | | | |
| No. (a) | | | | |
| As a % of total Shares held (b) | | | | |

| | | | | |
|---|---------|---------|---------|----------|
| Number of equity shares held in dematerialized form (XIV) | 3631400 | 7656880 | 8334152 | 19622432 |
| Reason for not providing PAN | | | | |

| Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs. | | |
|--|------------------------|---------------------------------------|
| Searial No. | 1 | |
| Name of the Shareholders (I) | PEEYUSH KUMAR AGGARWAL | Click here to go back |
| PAN (II) | AACPA6470C | Total |
| No. of fully paid up equity shares held (IV) | 1452092 | 1452092 |
| No. Of Partly paid-up equity shares held (V) | | |
| No. Of shares underlying Depository Receipts (VI) | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 1452092 | 1452092 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 2.89 | 2.89 |
| Number of Voting Rights held in each class of securities (IX) | | |
| Class eg: X | 1452092 | 1452092 |
| Class eg:y | | |
| Total | 1452092 | 1452092 |
| Total as a % of Total Voting rights | 2.89 | 2.89 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 2.89 | 2.89 |
| Number of Locked in shares (XII) | | |
| No. (a) | | |
| As a % of total Shares held (b) | | |
| Number of equity shares held in dematerialized form (XIV) | 1452092 | 1452092 |
| Reason for not providing PAN | | |
| Reason for not providing PAN | | |

| Any Other (specify) | | | | | | |
|--|------------------|--|-------------|----------------------|------------------|---------------------------------------|
| Serial No. | 1 | 2 | 3 | 4 | 5 | |
| Category | Bodies Corporate | Bodies Corporate | NRI – Repat | NRI – Non-Repatriate | Clearing Members | |
| Category / More than 1 percentage | Category | More than 1 percentage of shareholding | Category | Category | Category | |
| Name of the Shareholders (I) | | RELIGARE FINVEST LTD | | | | Click here to go back |
| PAN (II) | | AAFCS6801H | | | | Total |
| No. of the Shareholders (I) | 202 | 1 | 38 | 13 | 261 | 514 |
| No. of fully paid up equity shares held (IV) | 6790400 | 6036494 | 26238 | 8624 | 518638 | 7343900 |
| No. Of Partly paid-up equity shares held (V) | | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 6790400 | 6036494 | 26238 | 8624 | 518638 | 7343900 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 13.53 | 12.03 | 0.05 | 0.02 | 1.03 | 14.63 |
| Number of Voting Rights held in each class of securities (IX) | | | | | | |
| Class eg: X | 6790400 | 6036494 | 26238 | 8624 | 518638 | 7343900 |
| Class eg:y | | | | | | |
| Total | 6790400 | 6036494 | 26238 | 8624 | 518638 | 7343900 |
| Total as a % of Total Voting rights | 13.53 | 12.03 | 0.05 | 0.02 | 1.03 | 14.63 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 13.53 | 12.03 | 0.05 | 0.02 | 1.03 | 14.63 |
| Number of Locked in shares (XII) | | | | | | |
| No. (a) | | | | | | |
| As a % of total Shares held (b) | | | | | | |
| Number of equity shares held in dematerialized form (XIV) | 6790400 | 6036494 | 26238 | 8624 | 518638 | 7343900 |

| | | | | | | |
|------------------------------|--|--|--|--|--|--|
| Reason for not providing PAN | | | | | | |
| Reason for not providing PAN | | | | | | |

