



# North Eastern Carrying Corporation Ltd.

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**QUARTERLY COMPLIANCE REPORT ON CORPORATE GOVERNANCE UNDER REGULATION 27 OF THE  
SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS)  
REGULATIONS, 2015**

Name of Listed Entity: North Eastern Carrying Corporation Limited  
Quarter ending: June 30, 2016

I. Composition of Board of Directors								
Title	Name of the Director	Pan <sup>s</sup> & DIN	Category (Chairperson/ Executive/Non- Executive/Independent/Non minee) &	Date of Appointment in the Current Term/cessation	Tenure* (in months)	No. of Directorship in listed entities including this listed entity	Number of Membership in Audit/Stakeholder Committee (s) including this listed entity	No. of post of Chairperson in audit/stakeholder committee held in listed entities including this listed entity
Mr.	Sunil Kumar Jain	AAIPJ 5927B & 00010695	Chairperson/ Managing Director /Executive	10.08.2015	-	2	2	0
Mr.	Utkarsh Jain	ATPPJ6751R & 05271884	Whole time Director/ Executive Director	10.08.2015		1	0	0
Mrs.	Vanya Jain	AAFPJ2289P & 01364596	Non- Executive Director/ Woman Director	18.08.2014	-	2	0	0
Mr.	Manoj Kumar Jain	AGHPJ0168K & 02573858	Non Executive Director/ Independent Director	01.04.2014	60	4	1	2
Mr.	Mohak Jain	AHLPJ1782E & 02525330	Non Executive Director/ Independent Director	01.04.2014	60	1	2	0
Mr.	Utsav	AGMPJ0452F	Non Executive	01.04.2014	60	1	2	0

Anand Kr. Pandey



**MOVING YOU, AHEAD**

Jain	& 01609344	Director/ Independent Director					
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<sup>5</sup>PAN number of any director would not be displayed on the website of Stock Exchange

<sup>6</sup>Category of directors means executive/non-executive/independent/Nominee. if a director fits into more than one category write all categories separating them with hyphen

\* to be filled only for Independent Director. Tenure would mean total period from which Independent director is serving on Board of directors of the listed entity in continuity without any cooling off period.

## II. Composition of Committees

Name of Committee	Name of the committee members	Category (Chairperson/Executive/Non-Executive/independent/Nominee) <sup>5</sup>
1. Audit Committee	Mr. Manoj Kumar Jain Mr. Mohak Jain Mr. Utsav Jain	Chairperson/Independent Member/Independent Member/Independent
2. Nomination & Remuneration Committee	Mr. Manoj Kumar Jain Mr. Mohak Jain Mr. Utsav Jain	Chairperson/Independent Member/Independent Member/Independent
3. Stakeholder Relationship Committee	Mr. Manoj Kumar Jain Mr. Mohak Jain Mr. Utsav Jain	Chairperson/Independent Member/Independent Member/Independent
4. Corporate Social Responsibility Committee	Mr. Sunil Kumar Jain Mrs. Vanya Jain Mr. Utsav Jain Mr. Shyam Lal Yadav Mr. Vinod R Nair	Chairperson/Executive Member/Non-Executive Member/Executive Member/Executive
4. Risk Management Committee	Mrs. Vanya Jain Mr. Shyam Lal Yadav Mr. Mohak Jain Mr. Utsav Jain	Chairperson/Non-Executive Member/Executive Member/Independent Member/Independent

<sup>6</sup> Category of directors means executive/non-executive/independent/Nominee. if a director fits into more than one category write all categories separating them with hyphen

## III. Meeting of Board of Directors

Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Maximum gap between any two consecutive (in number of days)
10.02.2016	30.05.2016	109

## IV. Meeting of Committees

Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (details)	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings in number of days*
Audit Committee 30.05.2016	Yes (All Members are present throughout the meeting)	10.02.2016	109

\* This information has to be mandatorily be given for audit committee, for rest of the committees giving this information is optional

## V. Related Party Transactions

Subject	Compliance Status (Yes/No/NA) <small>refer note below</small>
Whether Prior approval of audit committee obtained	NA

Anand K. Pandey



Whether shareholder approval obtained for material RPT	NA
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	NA

**Note**

1 In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/N.A. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated.

2 If status is "No" details of non-compliance may be given here.

**VI. Affirmations**

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
2. The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015
  - a. Audit Committee - **Yes**
  - b. Nomination & Remuneration Committee. - **Yes**
  - c. Stakeholder relationship committee. - **Yes**
  - d. Risk management committee (applicable to the top 100 listed entities). - **Yes**
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
5. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. Any comments/observations/advice of Board of Directors may be mentioned here:

For North Eastern Carrying Corporation Limited

Anand Kumar Pandey  
 Anand Kumar Pandey  
 (Company Secretary and Compliance officer)  
 M. No. 38239



**Note:**

Information at Table I and II above need to be necessarily given in 1<sup>st</sup> quarter of each financial year. However if there is no change of information in subsequent quarter(s) of that financial year, this information may not be given by Listed entity and instead a statement "same as previous quarter" may be given.